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CONLEY ROSE, P.C.
600 Travis, Suite 7100
Houston, Texas, USA 77002
Fax Number: 713-238-8008
Telephone Number: 713-238-8000

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Art Unit: 3624

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DATE: March 28, 2006

FROM: Nick P. Patel, Reg. No. 57,365

RE: U.S. Patent Application Serial No. 09/769,036

ATTACHED: PTO Transmittal Form (1 p.), Amendment After Notice of Appeal (6 pp.)

TOTAL NUMBER OF PAGES (INCLUDING THIS ONE) 8

CLIENT/MATTER NO.: 1991-00301

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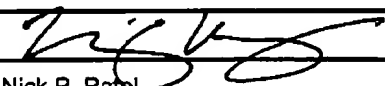
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PTO/SB/21 (09-04)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/769,036	
	Filing Date	January 24, 2001	
	First Named Inventor	Bea Calo	
	Art Unit	3624	
	Examiner Name	Debra F. Charles	
Total Number of Pages in This Submission	7	Attorney Docket Number	1991-00301

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
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Printed name	Nick P. Patel	
Date	March 28, 2006	Reg. No. 57,365

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Date	March 28, 2006

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appellants:	Bea CALO et al.	§	Confirmation No.:	5934
		§		
Serial No.:	09/769,036	§	Group Art Unit:	3624
		§		
Filed:	January 24, 2001	§	Examiner:	Debra F. Charles
		§		
For:	Global Trading System	§	Client Ref No.:	ET-0003000

AMENDMENT AFTER NOTICE OF APPEAL

Mail Stop AF
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Atty Docket No. 1991-00301
Date: March 28, 2006

Sir/Madam:

In response to the Final Office Action dated September 22, 2005, Appellants request entry of the following amendments:

In the claims:

1.-2. (Canceled).

3. (Previously presented) A computerized system for trading securities and commodities, comprising:

a computerized introducing affiliate in a first country suitable for accepting a transaction order from a customer and transmitting said transaction order electronically, said transaction order being for the handling of a security or commodity;

an exchange on which said security or commodity is traded;

a computerized executing affiliate in a second country suitable for electronically receiving said transaction order and executing said transaction order on the exchange; and

a global hub connected between said introducing affiliate and said executing affiliate, wherein said global hub electronically routes said transaction order from said introducing affiliate to said executing affiliate,

wherein said transaction order is to sell an equity, and said executing affiliate electronically transmits proceeds from said sale of said equity to said global hub.

171628.01/1991.00301